PATENT

TED STATES PATENT AND TRADEMARK OFFICE IN THE

Serial No.:

09/330,852

Confirmation No.:

9904

Applicant:

David L. Reese, et al.

Title:

PROFILING RANGES OF EXECUTION OF A COMPUTER PROGRAM

Filed:

June 11, 1999

Art Unit: . Examiner:

2124

John Q. Chavis

referred to therein, is being deposited with the United States Postal Service on July 12, 2005 as First Class Mail in an envelope with sufficient postage addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450,

I certify that this correspondence, along with any documents

Atty. Docket:

114596-07-4014

Customer No.

38492

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant wishes to make of record the items listed on the accompanying Form PTO-1449. Applicant respectfully requests the Examiner to fully consider the items and independently ascertain their teaching before issuance of the next action, and to make them of record in the file. The Examiner is also requested to initial and return a copy of the enclosed Form PTO-1449 to evidence such consideration.

- 1. Applicant has listed publication dates on the attached Form PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated. Applicant reserves the right to establish the patentability of the claims over any information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.
- 2. The references listed on the enclosed Form 1449 are references that have come to light in applications listed in the Information Disclosure Statement of November 2000. For many of the listed references, pertinence is mentioned in the respective application file in which the reference came to light. Applicant has not reviewed all of them in detail. Of those that have been reviewed, none of the references are believed to be any more pertinent than the references provided in earlier IDS' and Forms 1449. However, in an abundance of caution, Applicant requests that they be considered.
- 3. Pursuant to 37 C.F.R. § 1.98(d), for items other than U.S. patents and U.S. patent applications listed on the enclosed Form PTO-1449 for which a copy is not already made of record in this application, a copy was previously cited by or submitted to the Patent and Trademark Office in application Serial No.

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Application Serial No. 09/330,852 Atty. Docket No. 114596-07-4014

09/239,194, filed January 28, 1999, Yates et al., Executing Programs for a First Computer Architecture on a Computer of a Second Architecture, or in application Serial No. 09/322,443, filed May 28, 1999, Reese et al., Profiling of Computer Programs Executing in Virtual Memory Systems, each of which is an earlier application relied on for an earlier effective filing date under 35 U.S.C. § 120.

- 4. The Compaq, Dean, Hollingsworth, three Intel, Jones, Magnuson, Mueller, Park, and Peterson references are already of record. They are cited again here with improved bibliographic information.
- 5. This Information Disclosure Statement is being filed more than three months after filing of this application and after the mailing of a first Office Action on the merits, but before the mailing date of a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311 (where there has been no prior final action), or an action that otherwise closes prosecution in the application. A fee is due pursuant to 37 C.F.R. §1.97(c)(2).
- 6. Charge the fee due under C.F.R. §1.17(p) to Deposit Account 23-2405, Order No. 114596-07-4014.
- 7. The Commissioner is hereby authorized to charge any additional fees that may be required for this Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 23-2405, Order No. 114596-07-4014.

Respectfully submitted,

WILLKIE FARR & GALLAGHER LLP

Dated: July 12, 2005

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. 114596-07-4014 SERIAL NO. 09/330,852

APPLICANT

David L. Reese, et al.

FILING DATE
June 11, 1999

GROUP ART UNET

JUL 1 5 2005

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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EXAMINER

DATE CONSIDERED

ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE 114596-07-4014 09/330,852 PATENT AND TRADEMARK OFFICE APPLICANT JUL 1 5 2005 INFORMATION DISCLOSURE CITATION David L. Reese, et al. (Use several sheets if necessary) FILING DATE GROUP ART UNIT June 11, 1999 2124 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.) Dean, ProfileMe: Hardware Support for Instruction-Level Profiling on Out-of-Order Processors," Proceedings of 30th Annual Intl. IEEE/ACM Symp. on Microarchitecture, pp. 292-302 (Dec. 1997) Hollingsworth, Critical Path Profiling of Message Passing and Shared-Memory Programs, IEEE Transactions on Parallel and Distributed Systems vol. 9, no. 10, pp. 1029-1040 (October 1998) Intel Corporation, Intel Architecture Software Developer's Manual, Volume 1: Basic Architecture, pages 3-10 to 3-13, 7-1 to 7-3, 7-20 to 7-25; Volume 2: Instruction Set Reference, pages 3-60 to 3-63, 3-240 to 3-251; Volume 3: System Programming Guide, pages 11-1 to 11-3, 11-10 to 11-13, 14-1 to 14-3, 14-10 to 14-15 (1997) Intel Corporation, Pentium Processor Family Developer's Manual (1997), pages 1-1 to 1-6, 2-1 to 2-20 Intel Corporation, Pentium Processor Family Developer's Manual (1997), vol. 3: Architecture and Programming Manual, pages 3-1 to 3-3, 3-10 to 3-13, 12-1 to 12-27, 14-1 to 14-30 Jones, Puzzling with Microcode, ACM SIGARCH Computer Architecture News, vol. 11, no. 5, pp. 8-12 (1983) Magnusson and Werner, Efficient Memory Simulation in SimICS, Proceedings of the 28th Annual Simulation Symposium, IEEE, pp. 62-73 (1995). Mueller, Rustagi and Baker: MiThOS - A Real-Time Micro-Kernel Threads Operating System, IEEE Real-Time Systems Symposium 1995: pp. 49-55 (1995) Park et al., Evaluation of Scheduling Techniques on a SPARC-Based VLIW Testbed, Proceedings of the 30th annual ACM/IEEE international symposium on Microarchitecture, pp. 104-113 (1997) Peterson, "The Profile Naming Service," ACM Transactions on Computer Systems (TOCS), v.6 n.4, p.341-364 (Nov. 1988)

DATE CONSIDERED

EXAMINER